

Request for Proposals (RFP)

The provision of policy research services in support of Centres of Competence (CoCs)

RFP No. 794/18/10/2017 (Re-Advertisement)

Date of Issue	5 October 2017	
Compulsory briefing session	13 October 2017 at 11h00	
Venue for briefing session	Building 10 , room B12, CSIR Pretoria Campus, Meiring Naude Road, Brummeria	
Closing Date	18 October 2017	
Place	Tender box, CSIR Main Reception, Gate 3 (North Gate)	
Enquiries	Strategic Procurement Unit	E-mail: tender@csir.co.za
CSIR business hours	08h00 – 16h30	
Category	Professional Services	

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SECTION A – TECHNICAL INFORMATION

1 INTRODUCTION

The CSIR is one of the leading scientific research and technology development organisations in Africa. In partnership with national and international research and technology institutions, CSIR undertakes directed and multidisciplinary research and technology innovation that contributes to the improvement of the quality of life of South Africans. The CSIR's main site is in Pretoria while it is represented in other provinces of South Africa through regional offices.

The Council for Scientific and Industrial Research (CSIR) is facilitating a policy research study on behalf of the Department of Science and Technology (DST) on triple-helix partnership initiatives that are aimed at facilitating the translation of a greater proportion of the outcomes of research and development into socio-economically useful products and services. In particular, the focus of the study is in respect of partnerships that are intended to include government, industry and academia, such as Centres of Competence (CoCs).

The study comprises two main parts, or elements:

- i) Deriving key lessons learnt on the operationalisation and sustainability of CoCs, (or similar triple helix), funding and partnership initiatives. This will include an evaluation of the two pilot CoCs, (i.e. the Biomedical Translational Research Initiative (BTRI) and Synthetic Aperture Radar (SAR) initiative), that have been established by the DST in 2015; as well as,
- ii) A further assessment of the DST CoC Framework assumptions with a view to deriving policy relevant research that would inform and support future decisions to establish CoCs in key or priority areas.

The full requirements of the research/study are detailed in Annexure A hereto.

2 BACKGROUND

A 2007 Review of South Africa's innovation policy landscape revealed that there were major challenges in securing sufficient rates of innovation and knowledge flows between academic institutions, public research organisations and industrial and economic activities in the country. These challenges remain relevant today. As part of its response to the Review, the

DST developed the Centres of Competence (CoC) Framework document. This was aimed at conceptually positioning CoCs as a mechanism within the National System of Innovation (NSI) to close gaps along the innovation and technology development value chain; provide support for technology development and systems integration; develop technology-enhanced production capabilities; develop productive human capacity; as well as, to facilitate technology commercialisation.

CoCs are envisaged as collaborative entities/instruments that are preferably led by industry; and, that are resourced by highly qualified researchers who are associated with public research institutions, empowered to undertake market focused strategic research and technology development for the benefit of industry and the economy at large. A CoC is, therefore, intended to provide a formal, and as far as possible, contractually secure physical or virtual platform upon which to establish collaborative technology innovation and commercialisation partnerships between government, industry, universities and public research institutions, with the explicit aim of technology commercialisation. There is no single rigid structure that will be appropriate to all CoCs. Instead, Centres may evolve in a number of different ways depending on specific sectors, participating companies, technological focus, and established relationships. At the time of drafting the Framework, it was envisaged that most CoCs will be established either by the development of an industry cluster that subsequently engages a research provider, or will be stimulated by the need to take advantage of market opportunity or solve social challenges.

3 INVITATION FOR PROPOSAL

Proposals are hereby invited for the provision of policy research services in support of Centres of Competence (CoCs), to the CSIR.

4 PROPOSAL SPECIFICATION

All proposals are to be submitted in a format specified in this enquiry and please **not exceed 12 pages excluding annexures**.

The proposal specification is described in the Research Design and Implementation Requirements document attached as **Annexure A** to this RFP.

5 FUNCTIONAL EVALUATION CRITERIA

5.1 The evaluation of the functional / technical detail of the proposal will be based on the bidders providing a thorough and detailed narrative and accounting of the various knowledge, experience, capacity and expertise relevant to the scope of work described in the RFP, including, without limitation, a response to the following items:

- Competent and credible level of knowledge within the policy research and science and technology innovation landscape. In this regard the bidder must submit a schedule listing relevant documents developed by the bidder (either as an individual or the members of the team combined), i.e. documents other than project progress reports. Relevant publications include research reports, guideline documents and peer reviewed journal articles. The following information should be provided: title of the document; the names of the author/s; the date of publication; the client or publisher and where the document can be accessed.
- Demonstrated capacity and expertise to perform all elements of the scope of work described herein efficiently and taking into account the 6 month timeline provided. In this regard the bidder must submit:
 - A summarised description of the expertise of all the team members, as well as their planned utilization on the project - the role they will be fulfilling. The information should be provided in tabular form and list the previous projects they worked on stating the client; the approximate value and duration of the part of the project that the team member is/was responsible for; the role of the team member in each project; the deliverables he/she has/or will deliver.
 - A succinct description of the methodology and process to be followed in order to develop the research outputs as described in Annexure A. Each of the above mentioned resources should be linked to the methodology.
- Competent and credible level of knowledge related to programme/project management. In this regard the bidder must submit a project plan, based on the scope of the RFP, which includes the following: Gant chart; articulated objectives and deliverables as understood from the scope given; tasks/actions linked to the objectives and deliverables; resource allocation and location of resources; timeframes and budget amounts against deliverables.

5.2 Proposals with functionality / technical points of less than the pre-determined minimum overall percentage of **60%** will be eliminated from further evaluation.

5.3 Refer to **Annexure B** for the scoring sheet which will be used to evaluate functionality.

6 ELIMINATION CRITERIA

Proposals will be eliminated under the following conditions:

- Submission after the deadline; and
- Proposals submitted at incorrect location.

7 NATIONAL TREASURY CENTRAL SUPPLIER DATABASE (CSD) REGISTRATION

Before any negotiations will start with the winning bidder it will be required from the winning bidder to:

- be registered on National Treasury's Central Supplier Database (CSD). Registrations can be completed online at: www.csd.gov.za;
- provide the CSIR with their CSD registration number; and
- provide the CSIR with a certified copy of their B-BBEE certificate. If no certificate can be provided, no points will be scored during the evaluation process. (RSA suppliers only)

SECTION B – TERMS AND CONDITIONS

8 VENUE FOR PROPOSAL SUBMISSION

All proposals must be submitted at:

- **CSIR GATE 03 - Main Reception Area** (in the **Tender box**) at the following address
Council for Scientific and Industrial Research (CSIR)
Meiring Naudé Road
Brummeria
Pretoria

9 TENDER PROGRAMME

The tender program, as currently envisaged, incorporates the following key dates:

- | | |
|---|-----------------|
| • Issue of tender documents: | 5 October 2017 |
| • Compulsory briefing session | 13 October 2017 |
| • Last date for submission of queries: | 16 October 2017 |
| • Closing / submission Date: | 18 October 2017 |
| • Estimated contract duration (in months) | 6 Months |

10 SUBMISSION OF PROPOSALS

10.1 All proposals are to be sealed. No open proposals will be accepted.

10.2 All proposals are to be clearly marked with the RFP number and the name of the tenderer on the outside of the main package. Proposals must consist of two parts, each of which is placed in a separate sealed package clearly marked:

PART 1: Technical Proposal: RFP No.: 794/18/10/2017

PART 2: Pricing Proposal, B-BBEE and other Mandatory Documentation:
RFP No.: 794/18/10/2017

10.3 Proposals submitted by companies must be signed by a person or persons duly authorised.

10.4 The CSIR will award the contract to qualified tenderer(s) whose proposal is determined to be the most advantageous to the CSIR, taking into consideration the technical (functional) solution, price and B-BBEE.

11 DEADLINE FOR SUBMISSION

Proposals shall be submitted at the address mentioned above no later than the closing date of **Wednesday, 18 October 2017** during CSIR's business hours. The CSIR business hours are between 08h00 and 16h30.

Where a proposal is not received by the CSIR by the due date and stipulated place, it will be regarded as a late tender. Late tenders will not be considered.

12 AWARDING OF TENDERS

12.1 Awarding of tenders will be published on the National Treasury e-tender portal or the CSIR's tender website. No regret letters will be sent out.

13 EVALUATION PROCESS

13.1 Evaluation of proposals

All proposals will be evaluated by an evaluation team for functionality, price and B-BBEE. Based on the results of the evaluation process and upon successful negotiations, the CSIR will approve the awarding of the contract to successful tenderers.

A two-phase evaluation process will be followed.

- The first phase includes evaluation of **elimination** and **functionality criteria**, local production and content.
- The second phase includes the evaluation of **price** and **B-BBEE** status.

Pricing Proposals will only be considered after functionality phase has been adjudicated and accepted. Only proposals that achieved the specified minimum qualification scores for functionality will be evaluated further using the preference points system.

13.2 Preference points system

The 80/20 preference point system will be used where 80 points will be dedicated to price and 20 points to B-BBEE status. If all tenders received are more than R50m, the proposal will be cancelled and re-issued.

14 PRICING PROPOSAL

14.1 Pricing proposal must be cross-referenced to the sections in the Technical Proposal. Any options offered must be clearly labelled. Separate pricing must be provided for each option offered to ensure that pricing comparisons are clear and unambiguous.

- 14.2 Price needs to be provided in South African Rand (excl. VAT), with details on price elements that are subject to escalation and exchange rate fluctuations clearly indicated.
- 14.3 Price should include additional cost elements such as freight, insurance until acceptance, and duty where applicable.
- 14.4 Only firm prices* will be accepted during the tender validity period. Non-firm prices** (including prices subject to rates of exchange variations) will not be considered.

**Firm price is the price that is only subject to adjustments in accordance with the actual increase or decrease resulting from the change, imposition, or abolition of customs or excise duty and any other duty, levy, or tax which, in terms of a law or regulation is binding on the contractor and demonstrably has an influence on the price of any supplies, or the rendering costs of any service, for the execution of the contract;*

***Non-firm price is all prices other than "firm" prices.*

- 14.5 Payment will be according to the CSIR Payment Terms and Conditions.

15 VALIDITY PERIOD OF PROPOSAL

Each **proposal** shall be valid for a minimum period of three (3) months calculated from the closing date.

16 APPOINTMENT OF SERVICE PROVIDER

- 16.1 The contract will be awarded to the tenderer who scores the highest total number of points during the evaluation process, except where the law permits otherwise.
- 16.2 Appointment as a successful service provider shall be subject to the parties agreeing to mutually acceptable contractual terms and conditions. In the event of the parties failing to reach such agreement CSIR reserves the right to appoint an alternative supplier.
- 16.3 Awarding of contracts will be announced on the National Treasury website and no regret letters will be sent to unsuccessful bidders.

17 ENQUIRIES AND CONTACT WITH THE CSIR

Any enquiry regarding this RFP shall be submitted in writing to CSIR at tender@csir.co.za with “***RFP No 794/18/10/2017 - The provision of policy research services in support of Centres of Competence (CoCs)***” as the subject.

Any other contact with CSIR personnel involved in this tender is not permitted during the RFP process other than as required through existing service arrangements or as requested by the CSIR as part of the RFP process.

18 MEDIUM OF COMMUNICATION

All documentation submitted in response to this RFP must be in English.

19 COST OF PROPOSAL

Tenderers are expected to fully acquaint themselves with the conditions, requirements and specifications of this RFP before submitting proposals. Each tenderer assumes all risks for resource commitment and expenses, direct or indirect, of proposal preparation and participation throughout the RFP process. The CSIR is not responsible directly or indirectly for any costs incurred by tenderers.

20 CORRECTNESS OF RESPONSES

20.1 The tenderer must confirm satisfaction regarding the correctness and validity of their proposal and that all prices and rates quoted cover all the work/items specified in the RFP. The prices and rates quoted must cover all obligations under any resulting contract.

20.2 The tenderer accepts that any mistakes regarding prices and calculations will be at their own risk.

21 VERIFICATION OF DOCUMENTS

21.1 Tenderers should check the numbers of the pages to satisfy themselves that none are missing or duplicated. No liability will be accepted by the CSIR in regard to anything arising from the fact that pages are missing or duplicated.

- 21.2 One hard copy and one electronic copy (CD or USB memory key) of each proposal must be submitted. In the event of a contradiction between the submitted copies, the hard copy shall take precedence.
- 21.3 Pricing schedule and B-BBEE credentials should be submitted with the proposal, but as a separate document and no such information should be available in the technical proposal.
- 21.4 If a courier service company is being used for delivery of the proposal document, the RFP description must be endorsed on the delivery note/courier packaging to ensure that documents are delivered to the tender box, by the stipulated due date.

22 SUB-CONTRACTING

- 22.1 A tenderer will not be awarded points for B-BBEE status level if it is indicated in the tender documents that such a tenderer intends sub-contracting more than **25%** of the value of the contract to any other enterprise that does not qualify for at least the points that such a tenderer qualifies for, unless the intended sub-contractor is an exempted micro enterprise that has the capability and ability to execute the sub-contract.
- 22.2 A tenderer awarded a contract may not sub-contract more than **25%** of the value of the contract to any other enterprise that does not have an equal or higher B-BBEE status level than the person concerned, unless the contract is sub-contracted to an exempted micro enterprise that has the capability and ability to execute the sub-contract.

23 ENGAGEMENT OF CONSULTANTS

The consultants will only be remunerated at the rates:

- 23.1 Determined in the "Guideline for fees", issued by the South African Institute of Chartered Accountants (SAICA); or
- 23.2 Set out in the "Guide on Hourly Fee Rates for Consultants", by the Department of Public Service and Administration (DPSA); or
- 23.3 Prescribed by the body - regulating the profession of the consultant.

24 TRAVEL EXPENSES

- 24.1 All travel expenses for the CSIR's account, be it directly via the CSIR's travel agent or indirectly via re-imbursements, must be in line with the CSIR's travel policy. The following will apply:
 - 24.1.1 Only economy class tickets will be used.

24.1.2 A maximum of R1300 per night for accommodation, dinner, breakfast and parking will be allowed.

24.1.3 No car rentals of more than a Group B will be accommodated.

25 ADDITIONAL TERMS AND CONDITIONS

25.1 A tenderer shall not assume that information and/or documents supplied to CSIR, at any time prior to this request, are still available to CSIR, and shall consequently not make any reference to such information document in its response to this request.

25.2 Copies of any affiliations, memberships and/or accreditations that support your submission must be included in the tender.

25.3 In case of proposal from a joint venture, the following must be submitted together with the proposal:

- Joint venture Agreement including split of work signed by both parties;
- The original or certified copy of the B-BBEE certificate of the joint venture;
- The Tax Clearance Certificate of each joint venture member;
- Proof of ownership/shareholder certificates/copies; and
- Company registration certificates.

25.4 An omission to disclose material information, a factual inaccuracy, and/or a misrepresentation of fact may result in the disqualification of a tender, or cancellation of any subsequent contract.

25.5 Failure to comply with any of the terms and conditions as set out in this document will invalidate the Proposal.

26 CSIR RESERVES THE RIGHT TO

26.1 Extend the closing date;

26.2 Verify any information contained in a proposal;

26.3 Request documentary proof regarding any tendering issue;

26.4 Give preference to locally manufactured goods;

26.5 Appoint one or more service providers, separately or jointly (whether or not they submitted a joint proposal);

26.6 Award this RFP as a whole or in part;

26.7 Cancel or withdraw this RFP as a whole or in part.

27 DISCLAIMER

This RFP is a request for proposals only and not an offer document. Answers to this RFP must not be construed as acceptance of an offer or imply the existence of a contract between the parties. By submission of its proposal, tenderers shall be deemed to have satisfied themselves with and to have accepted all Terms & Conditions of this RFP. The CSIR makes no representation, warranty, assurance, guarantee or endorsements to tenderer concerning the RFP, whether with regard to its accuracy, completeness or otherwise and the CSIR shall have no liability towards the tenderer or any other party in connection therewith.

DECLARATION BY TENDERER

Only tenderers who completed the declaration below will be considered for evaluation.

RFP No:

I hereby undertake to render services described in the attached tendering documents to CSIR in accordance with the requirements and task directives / proposal specifications stipulated in RFP No..... at the price/s quoted. My offer/s remains binding upon me and open for acceptance by the CSIR during the validity period indicated and calculated from the closing date of the proposal.

I confirm that I am satisfied with regards to the correctness and validity of my proposal; that the price(s) and rate(s) quoted cover all the services specified in the proposal documents; that the price(s) and rate(s) cover all my obligations and I accept that any mistakes regarding price(s) and rate(s) and calculations will be at my own risk.

I accept full responsibility for the proper execution and fulfilment of all obligations and conditions devolving on me under this proposal as the principal liable for the due fulfilment of this proposal.

I declare that I have no participation in any collusive practices with any tenderer or any other person regarding this or any other proposal.

I accept that the CSIR may take appropriate actions, deemed necessary, should there be a conflict of interest or if this declaration proves to be false.

I confirm that I am duly authorised to sign this proposal.

NAME (PRINT)

CAPACITY

SIGNATURE

NAME OF FIRM

DATE

WITNESSES

1

2

DATE:

28 ANNEXURE A – Research Design and Implementation

29 ANNEXURE B – Scoring Sheet